**Original Article** 

# Immune-enhancing effects of Maitake (*Grifola frondosa*) and Shiitake (*Lentinula edodes*) extracts

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**Background:** The role of glucan in stimulation of immune reactions has been studied for several decades. In this report, we focused on the effects of orally administered glucan Maitake and Shiitake on immune reactions.

**Materials and methods:** We measured phagocytosis, NK cell activity, and secretion of IL-6, IL-12, IFN- $\gamma$  as well as C-reactive protein (CRP) after 14 days of oral application of tested glucans. For comparison, active hexose correlated compound (AHCC) was used in all reactions.

**Results:** We found significant stimulation of defense reaction. In all cases, the most active was the Maitake-Shiitake combination, with Maitake alone being the second strongest, followed by Shiitake on its own and AHCC. **Conclusions:** Short-term oral application of natural immunomodulating glucans from Maitake and Shiitake mushrooms strongly stimulated both the cellular and humoral branch of immune reactions. These activities were significantly higher than those of AHCC.

Keywords: Phagocytosis; cytokines; NK cells; C-reactive protein (CRP); glucan



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## Introduction

Natural products have been used to help treat and/ or prevent diseases throughout man's history. Natural [1,3]-β-D-glucans isolated from yeast, grain and mushrooms are well-established biological response modifiers [for review see (1)]. Alpha-glucan-containing substances also have demonstrated an ability to enhance immune functions (2). Glucans are highly conserved carbohydrates and represent a group of chemically heterogeneous polysaccharides existing in various numbers of molecules of glucose bound together with several types and degrees of branching.

Research on the relationship between glucan and immune reactions began approximately 70 years ago with two starting points: one originated in Europe and the United States and the second in Japan. Based mainly on historical use of materials used for isolation of glucan, the Japanese groups focused on mushroom-derived glucans and the European and American groups studied glucans isolated

from Saccharomyces cerevisiae.

Initial glucan research led to the discovery of glucan's ability to stimulate the phagocytic system, enhance general defense mechanisms and promote resistance to tumors. During subsequent decades of research around the world, glucans were found to significantly stimulate defense reactions against infections and cancer [for review see (3,4)]. In addition, several additional important effects were later demonstrated, including reduction of stress (5), hypoglycemic effects, reduction of cholesterol level (6), and improvements of ulcerative colitis (7) Another advantage of using glucan as both specific and nonspecific activator of immune reactions is the fact that it has been shown to be effective in all species tested so far—from earthworms to humans (8).

Both Maitake and Shiitake glucans are among the most studied glucans (9-15). The Maitake mushroom extract used in our study is rich in  $\beta$ -glucans, while Shiitake whole mushroom powder is  $\alpha$ -glucan rich. Active hexose correlated compound

(AHCC) is a  $\alpha$ -glucan-rich nutraceutical ingredient prepared by culturing Shiitake and other *Basidiomycetes* mushrooms. AHCC was originally developed for lowering blood pressure, but significant immunostimulating effects were found later (16-18).

As some previously published studies have reported rather confusing results, we decided to evaluate the immunostimulating effects of Maitake mushroom extract, Shiitake whole mushroom powder, a blend of Maitake and Shiitake, as well as AHCC.

## **Materials and methods**

## Animals

Female, 8-week-old BALB/c mice were purchased from the Jackson Laboratory (Bar Harbor, ME, USA). All animal work was done according to the University of Louisville IACUC protocol. Animals were sacrificed by CO<sub>2</sub> asphyxiation.

#### Materials

Trypan blue, RPMI 1640 medium, sodium citrate, LPS, Limulus lysate texs E-Toxate, polymixin B and Wright stain were obtained from Sigma Chemical Co. (St. Louis, MO, USA). Fetal calf serum (FCS) was from Hyclone Laboratories (Logan, UT, USA).

#### Glucans

MaitakeGold 404 (MTG404) extract is soluble glucan-rich extract isolated from Maitake fruit body (*Grifola frondosa*). The product, which is a glucan/protein complex, is derived by thermally extracting the fruit body of Maitake with water under pressure at 100 °C or more for 30 minutes to an hour. After that, alcohol is added to the extract at a final concentration of 20% to 60% by volume to remove floating material by filtration. The resulting extract is concentrated under heating to remove residual alcohol. The product is a hygroscopic powder in shades of brown which is soluble in water, alkaline solutions and dimethyl sulphoxide, with a molecular weight around 1,000 kD. MTG404 is produced by Yukiguni Maitake Co. (Niigata, Japan) and for this project was purchased from Tradeworks Group, Inc. (Brattleboro, Vermont, VT, USA).

The Shiitake mushroom used in this study is an alpha glucan-rich whole mushroom powder obtained from Gourmet Mushrooms, Inc. (Sebastopol, CA, USA). This mushroom is grown on brown rice, dried, and then ground

into a fine powder.

AHCC (Amino-Up Chemical Company, Sapporo, Japan) is a proprietary, alpha glucan-rich nutraceutical ingredient prepared by culturing Shiitake and other Basidiomycetes mushrooms with rice bran and then subjecting the culture to an enzymatic reaction, followed by hot water extraction and freeze drying.

# Oral treatment

Mice were treated orally twice/day for 14 days with either 61.5  $\mu g/day$  MaitakeGold 404, 820  $\mu g/day$  Shiitake, 820  $\mu g$  Shiitake and 61.5  $\mu g$  MaitakeGold 404, or 100  $\mu g$  AHCC. PBS served as a negative control. The doses used in this study correspond to the recommended daily human-equivalent dose for each ingredient.

## Phagocytosis

The technique employing phagocytosis of synthetic polymeric microspheres was described earlier (19,20). Briefly, peripheral blood cells were incubated with 0.05 mL of 2-hydroxyethyl methacrylate particles (HEMA;  $5\times10^8/\text{mL}$ ). The test tubes were incubated at 37 °C for 60 min, with intermittent shaking. Smears were stained with Wright stain. The cells with three or more HEMA particles were considered positive. The same smears were also used for evaluation of cell types.

## Evaluation of cytokine production

At the endpoints, blood was collected, serum prepared, filtered through 0.45  $\mu$ m filters and tested for the presence of cytokines (IL-6, IL-12 and IFN- $\gamma$ ) using the Quantikine mouse IL-6, IL-12 or IFN- $\gamma$  kit, respectively (R&D Systems, Minneapolis, MN, USA).

# Evaluation of C-reactive protein (CRP) production

At the endpoints, blood was collected, serum prepared, filtered through 0.45  $\mu m$  filters and tested for the presence of CRP using a CRP kit (Helica, Santa Anna, CA, USA).

## NK cell assay

Spleen cells were isolated from the spleen of mice by standard methods. Cell suspension was generated by pressing minced spleen against the bottom of a petri dish containing PBS. After elimination of erythrocytes by

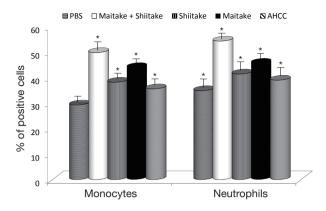


Figure 1 Effect of 14 days of oral feeding on phagocytosis by mouse peripheral blood cells. \*, represents significant differences between treated and control animals at  $P \le 0.05$  level.

10-second incubation in distilled water, and five washes in cold PBS, the cells were resuspended in PBS and counted. The viability was determined by trypan blue exclusion. Only cells with viability better than 95% were used in subsequent experiments. Splenocytes (10<sup>6</sup>/mL; 0.1 mL/well) in V-shaped 96-well microplates were washed three times with RPMI 1640 medium. After washing, 50 µL of target cell line YAC-1 (three different concentrations of target cells were used so the final effector-target ratio was 10:1, 50:1 and 100:1). After spinning the plates at 250 ×g for 5 min, the plates were incubated for 4 hr at 37 °C. The cytotoxic activity of cells was determined by the use of CytoTox 96 Non-Radioactive Cytotoxicity Assay from Promega (Promega, Madison, WI, USA) according to the manufacturer's instructions. Briefly, 10 μL of lysis solution was added into appropriate control wells 45 min before the end of incubation. The next step was to spin the plates at 250 ×g for 5 min, followed by transferring 50 μL of supernatant into flat-bottomed, 96-well microplates. After 50 μL of reconstituted substrate was added into each well, plates were covered and incubated for 30 min at room temperature at dark. The optical density was determined by using a STL ELISA reader (Tecan U.S., Research Triangle Park, NC) at 492 nm. Specific cell-mediated cytotoxicity was calculated using the formula:

Percent-specific killing (% cytotoxicity) =100 × [(OD<sub>492</sub> experimental – OD<sub>492</sub> spontaneous) divided (OD<sub>492</sub> maximum – OD<sub>492</sub> spontaneous)] as described in the manufacturer's instructions, where spontaneous release was target cells incubated with medium alone and maximum release was that obtained from target cells lysed with the solution provided in the kit.

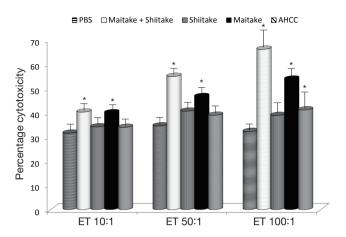


Figure 2 Effects of 14 days of oral feeding on NK cell cytotoxicity. Different ratios of NK cells to target cells were tested for cytotoxicity. The data points shown are mean values from three experiments. \*, the differences were significant at  $P \le 0.05$  level at all three effector to target cell ratios.

#### Statistics

Student's *t*-test was used to statistically analyze the data.

## **Results**

The effects of glucan on the phagocytic activity of professional phagocytes are well established. Therefore, a comparison of various glucans and/or their combinations should start with evaluation of how these compounds affect this reaction. We compared the activities of MaitakeGold 404, Shiitake, MTG404-Shiitake blend, and AHCC on phagocytosis of synthetic microspheres by peritoneal blood monocytes and neutrophils (*Figure 1*). With exception of AHCC and neutrophils, all tested samples significantly increased phagocytosis. The highest effects were observed in MaitakeGold 404-Shiitake combination.

Next, we focused on activation of NK cells. Human YAC-1 cells were incubated with mouse spleen cells isolated from animals stimulated by tested samples (*Figure 2*). Our data showed that MaitakeGold 404-Shiitake combination and MaitakeGold 404 alone activated NK cell toxicity in all tested E:T ratios. AHCC was active only in the highest E:T ratio.

The effects on the cellular branch of immune reaction were followed by evaluation of possible activation of cytokine secretion. Serum obtained from mice fed with different samples was stored at -80 °C for no more than

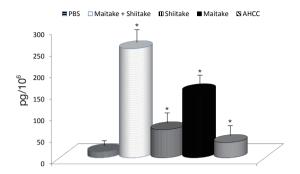
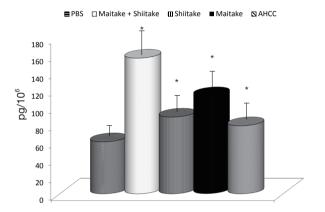


Figure 3 Effect of 14 days of oral feeding on levels of IL-6. \*, represents significant differences between treated and control animals at  $P \le 0.05$  level.



**Figure 5** Effect of 14 days of oral feeding on levels of IFN- $\gamma$ . \*, represents significant differences between treated and control animals at P<0.05 level.

one week. The data summarized in *Figure 3* showed that all samples significantly stimulated secretion of IL-6. The samples with the highest activity were MaitakeGold 404-Shiitake combination followed by MaitakeGold 404 alone. A different situation was found when we tested production of IL-12. Only MaitakeGold 404-Shiitake combination and Shiitake alone resulted in significantly elevated levels of IL-12 to spike to over twice the level of any other glucan and more than three times that of the PBS control (*Figure 4*). Effects on IFN-γ secretion were similar to those for IL-6 (*Figure 5*). CRP levels did not significantly change during the study (*Figure 6*).

# **Discussion**

More than forty years ago,  $\beta$ -glucans were first described as biological response modifiers that could stimulate tumor rejection in mice. As with many other natural

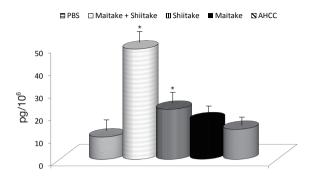
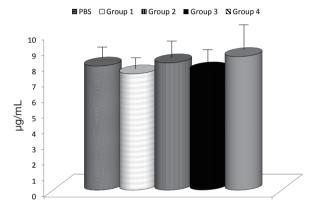


Figure 4 Effect of 14 days of oral feeding on levels of IL-12. \*, represents significant differences between treated and control animals at  $P \le 0.05$  level.



**Figure 6** Effect of 14 days of oral feeding on levels of C-reactive protein (CRP).

immunostimulators, they were classified as "non-specific" because their molecular targets were unknown and their effects appeared to be pleiotropic. Nevertheless, extensive literature regarding the activity of  $\beta$ -glucans in animal tumor models exists (4). For the past 30 years, in Japan, several forms of mushroom-derived  $\beta$ -glucan have been used successfully in cancer patients (21).

Despite decades of extensive research, the knowledge of the relation between physicochemical characteristics (such as molecular weight, degree of branching, conformation or solubility) and immunological activities is still limited. Even less is known about the relationship between  $\alpha$ -glucans and immune reactions. With the continuing discussion whether yeast-derived glucans are better than mushroom-derived glucans (or vice-versa), and a rather limited number of research studies directly comparing individual glucans, the quest for the biologically optimal glucan continues.

Individual commercially available glucans significantly

differ in their biological activities and subsequently in price (22). Additional information can be found, but the number of glucans directly compared is still seriously limited (23,24). This investigation focused on the biological and immunological properties of two individual mushroom-derived glucan-rich polysaccharides, MaitakeGold 404 ( $\beta$ -glucan rich) and Shiitake ( $\alpha$ -glucan rich), a combination of these two ingredients, and  $\alpha$ -glucan rich AHCC.

Glucans were originally developed as stimulators of cellular immunity; therefore, phagocytosis is always the method of choice for characterization of effects on immune reactions. For our study, we used the 2-hydroxyethyl methacrylate microspheres. These particles have only a very light negative charge resulting in no nonspecific adherence to the cell membrane (25).

Using both phagocytosis and NK cell activity as a model in our study, the combination of MaitakeGold 404 glucan and Shiitake glucan consistently showed stronger activities than either mushroom ingredient alone or AHCC. When we compared these glucans directly, MaitakeGold 404 glucan was always more active, despite a significantly lower dose.

In addition to the direct activation of cells involved in immune reactions, the immunostimulating effects of natural molecules such as glucans are directly and/or indirectly caused by potentiation of synthesis and subsequent secretion of various cytokines. Individual glucans significantly differ in their effects upon cytokine synthesis (26,27). We previously showed significant effects of MaitakeGold 404 glucan on cytokine formation (28).

In the present study, we found that the MaitakeGold 404-Shiitake combination significantly enhanced production of all three tested cytokines, IL-6, IL-12 and IFN- $\gamma$ . In all cases, the MaitakeGold 404 by itself was more active than the Shiitake alone. As cytokine production is extremely sensitive to the presence of LPS, contamination with LPS might mask the real effects of glucan. Therefore, we used LPS-free samples (depleted of any potential LPS by addition of 10  $\mu$ g/mL of polymixin B) in parallel to normal samples. In each case, no significant difference in activity between normal and LPS-free sample was found (data not shown).

Our findings of levels of CRP showed no significant changes. These results correspond to the recently published study testing glucan effects on CRP levels in saliva of children with chronic respiratory problems (Richter 1).

Our current study clearly demonstrates that Maitakederived glucan act via the same mechanisms as other highly active glucans to strongly stimulate immune defense reactions and, when combined with Shiitake glucan, the MaitakeGold 404-Shiitake blend is even more immunologically and biologically active than either glucan alone or AHCC.

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Disclosure: The authors declare no conflict of interest.

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